

# Accessing PKtools a vignette

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April 15, 2008

## 1 Introduction

PKtools is a package for analysis of single dose population PK data. It gives the analyst of population PK data access to frequentist and Bayesian estimation methods, by providing an interface to the well established software packages NLME (Pinheiro and Bates, 2000), NONMEM (Beal and Sheiner, 1989) and WinBUGS (Spiegelhalter et al., 2001). Additional tools are also supplied to simplify the implementation of comparative or hybrid analyses.

The core functions are `RunNLME`, `RunNM`, and `RunWB`, that interface to NLME, NONMEM and WinBUGS, respectively. To access one of the three ‘Run’ functions the user only needs to identify 1) a pharmacokinetic dataset, including; patient id, dose, draw times and concentrations, 2) the statistical model to be fit, and 3) the list of variable names. The `RunWB` program requires some additional WinBUGS arguments. `RunNLME`, `RunNM`, and `RunWB`, respectively output PKNLME, NONMEM, and WinBUGS objects which contain parameter estimates, residuals, and predicted values, for use in other PKtools functions, for further manipulation in R, and for export to other environments.

## 2 Implementing PKtools:RunNLME

As an example lets start by implementing `RunNLME` to analyze the Theophyline (Theoph) data provided in the nlme package (Pinheiro and Bates, 2000). We need to define a dataset that includes the pk data and covariates. In our example Theo is the pk dataset and wt.v defines the covariate data vector.

```
> library(PKtools)
> library(nlme)
> data(Theoph)
> Theoph <- Theoph[Theoph$Time != 0, ]
> id <- as.numeric(as.character(Theoph$Subject))
> dose <- Theoph$Dose
> time <- Theoph$Time
> conc <- round(sqrt(Theoph$conc), 4)
> Theo <- data.frame(cbind(id, dose, time, conc))
> names(Theo) <- c("id", "dose", "time", "conc")
> wt.v <- Theoph$Wt
> data <- list(pkvar = Theo, cov = wt.v)
```

nameData is a list of the labels, including: the names of the covariates in the order they are given in the covariate dataset, the y (concentration) and x (time) variables, the random

parameters (reparams - should match the list for random.model in the model.def), the fixed parameters (params - should match the list for fixed.model in the model.def), and the transformed parameters (in the Theo example the model parameters are on a log scale so tparam=c("log(Ka)","log(V)","log(Cl)"). Note the names of the variance parameters are not required for RunNLME.

```
> nameData <- list(covnames = c("wt"), yvarlab = "Sqrt(Theop. Conc.) (mg/L)",
+   xvarlab = "Time since dose (hrs)", reparams = c("Ka", "V",
+   "Cl"), params = c("Ka", "V", "Cl"), tparams = c("log(Ka)",
+   "log(V)", "log(CL)"))
```

The inputStructure for RunNLME is the model.def. The model.def lays out the model definition including the model form, fixed and random effects, the starting values and gives the user access to the control arguments for the nlme function. The following is an example. We are using a one compartment model with a square root transformation (sonecpmt) written with all three parameters on a log scale (lKa, lVol and lCl) and lVol and lCl defined to be random. Note conc is defined as the square root of concentration. The starting values are lKa=.3, lVol=-.6, and lCl=-3. The control statement implements the nlm optimizer and only produces a result if convergence is achieved.

```
> model.def <- list(fixed.model = c(lKa + lV + lCl ~ 1), random.model = lKa +
+   lV + lCl ~ 1, start.lst = c(0.5, -0.6, -3), form = conc ~
+   sonecpmt(dose, time, lV, lKa, lCl), control = nlmeControl(returnObject = FALSE,
+   opt = c("nlm")))
```

Implementing the RunNLME function provides the user with the PKNLME object MM. Summary(MM\$mm) gives the user the basic estimation results. It also contains a vector/matrix of the covariates and their associated ids (cov.id).

```
> MM <- RunNLME(inputStructure = model.def, data = data, nameData = nameData)
> summary(MM$mm)
```

Nonlinear mixed-effects model fit by maximum likelihood

Model: form

Data: pkdata

	AIC	BIC	logLik
	0.4275122	28.30243	9.786244

Random effects:

Formula: list(lKa ~ 1, lV ~ 1, lCl ~ 1)

Level: id

Structure: General positive-definite, Log-Cholesky parametrization

	StdDev	Corr	
lKa	0.6414984		lKa
lV	0.1194804	-0.146	lV
lCl	0.2455721	-0.121	0.999
Residual	0.1683417		

Fixed effects: inputStructure\$fixed.model

	Value	Std.Error	DF	t-value	p-value
lKa	0.351867	0.19865612	106	1.77123	0.0794
lV	-0.787759	0.04367641	106	-18.03625	0.0000
lCl	-3.214108	0.07679407	106	-41.85360	0.0000

Correlation:

	lKa	lV
lV	0.024	
lCl	-0.137	0.677

Standardized Within-Group Residuals:

	Min	Q1	Med	Q3	Max
	-3.359046374	-0.474007181	-0.008880817	0.325870459	3.141482190

Number of Observations: 120

Number of Groups: 12

Typing `names(MM)` gives the contents of the “PKNLME” object including “nlme” object, pkdata file that includes the PK data and covariates, and the nameData file.

```
> names(MM)
```

```
[1] "mm"          "cov.id"      "pkdata"     "nameData"
```

Typing `names(MM$mm)` gives the objects contained in the “nlme” object including the coefficients, residuals, fitted values etc.

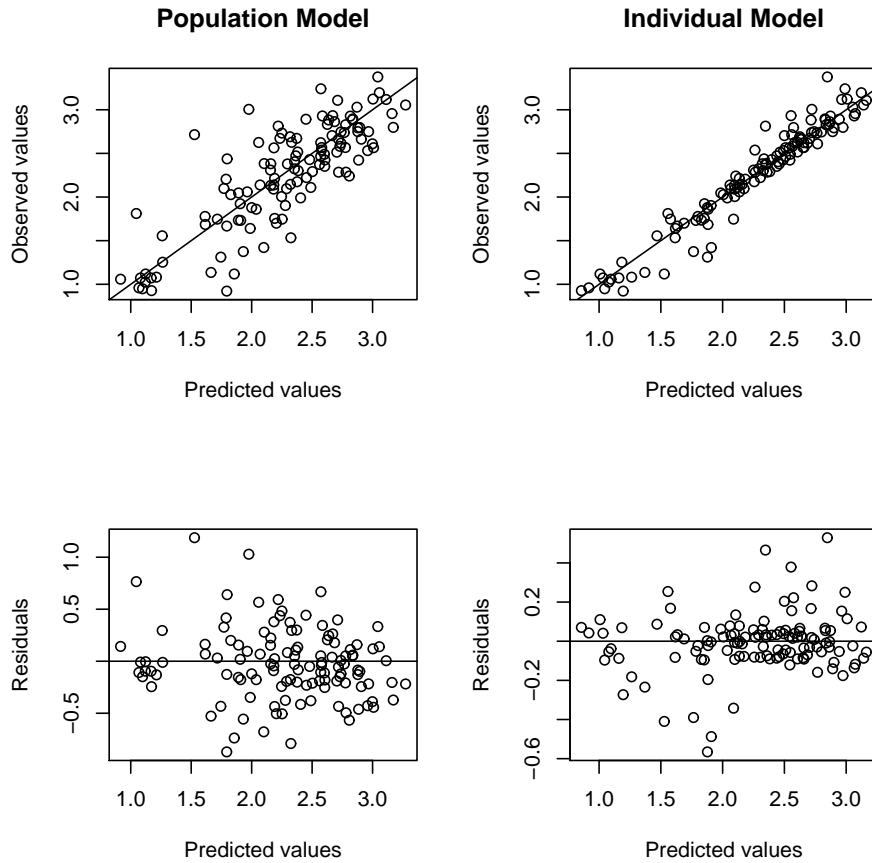
```
> names(MM$mm)
```

```
[1] "modelStruct" "dims"          "contrasts"     "coefficients" "varFix"
[6] "sigma"        "apVar"         "logLik"        "numIter"      "groups"
[11] "call"         "method"        "fitted"        "residuals"    "plist"
[16] "map"          "fixDF"
```

The user can get a report including parameter estimates and model diagnostic plots using either the `tex` or `HTMLtools` functions for any of the 3 estimation methods including, generalized least squares (NLME), maximum likelihood (NONMEM) and Bayesian (WinBUGS) methods. To run `HTMLtools`, in addition to identifying the model object, we need to provide a `nameFile` list which gives the name of the output file for the HTML report file (i.e. `nlme.output`) and the names for each of the plots being output to an `.html` file. The `descStructure` identifies the percentiles the user would like to see with the number of significant figures. The default is `list(pcts=c(.025,.05,.95,.975),nsig=4)`. We also need to identify the path and name of the directory where the HTML file will reside (`nameDir`). In this case we sent `nlme.output.html` to a temporary directory (`tempdir()`).

```
> nameFile <- list(file = "nlme.output", file1 = "trplt.nl", file2 = "diagplt.nl",
+   file3 = "qqploti.nl", file4 = "qqnormre.nl", file5 = "covre.nl",
+   file6 = "diagtrplti.nl", file7 = "diagtrpltp.nl")
> descStructure <- list(pcts = c(0.025, 0.05, 0.95, 0.975), nsig = 4)
> HTMLtools(x = MM, nameDir = tempdir(), nameFile = nameFile, descStructure = descStructure)
```

```
> diagplot(MM)
```



Results written to C:\DOCUME~1\IBM\LOCALS~1\Temp\RtmpOVOsX3

The plots provided in the report functions can also be run individually (see `diagplot()`, `diagtrplot()`, `trplot()`, `obvsprplot()`, `residplot()`). For example the figure shows `diagplot(x=MM)`.

### 3 Implementing the model comparison and estimation method comparison functions in PKtools

PKtools also includes functions for model comparison and estimation method comparison. Before we can run the functions for model and estimation method comparison, we need to run our model in `RunNM` to get maximum likelihood estimates, and `RunWB` to get Bayesian estimates. `RunNM` uses the data set-up for `RunNLME` so all that is required is a `nameData` list and the `inputStructure`, which for `RunNM` is a NONMEM control file. An example NONMEM control file is included in the appendix.

```
> nameData <- list(covnames = c("wt"), yvarlab = "Sqrt(Theop. Conc.) Sqrt(mg/L)",
+   xvarlab = "Time since dose (hrs)", reparams = c("Ka", "V",
+   "Cl"), params = c("Ka", "V", "Cl"), tparams = c("log(Ka)",
+   "log(V)", "log(Cl)"), varnames = c("D[1,1]", "D[1,2]",
```

```
+      "D[2,2]", "D[1,3]", "D[2,3]", "D[3,3]"))
> NM <- RunNM(inputStructure = "control.model5", data = data, nameData = nameData)
```

RunWB like the other run functions requires a data set, a nameData list and the inputStructure. The data includes a list of required data files, including the basic pk data, as well as, a vector/matrix of covariates. The RunWB data file also includes any fixed parameters like number of subjects, the number of values per person required to define the model (see inputStructure below) and values for the hyperparameters.

```
> library(nlme)
> data(Theoph)
> Theoph <- Theoph[Theoph$Time != 0, ]
> id <- as.numeric(as.character(Theoph$Subject))
> dose <- Theoph$Dose
> time <- Theoph$Time
> conc <- round(sqrt(Theoph$conc), 4)
> sid <- split(id, id)
> hist <- sapply(sid, length)
> n.ind <- 12
> off.data <- matrix(NA, n.ind + 1, 1)
> off.data[1, 1] <- 1
> for (i in 2:(n.ind + 1)) off.data[i, 1] <- off.data[i - 1, 1] + hist[i - 1]
> off.data <- c(off.data)
> mean <- c(0.5, -0.6, -3)
> R <- structure(.Data = diag(rep(0.1, 3)))
> prec <- structure(.Data = diag(rep(1e-06, 3)))
> data <- list(n.ind = n.ind, off.data = off.data, dose = dose, conc = conc, time = time,
+   mean = mean, R = R, prec = prec)
> wt.v <- Theoph$Wt
> data <- list(data = data, cov = wt.v, id = id)
> nameData <- list(covnames = c("wt"), yvarlab = "Sqrt(Theop. Conc.) Sqrt(mg/L)",
+   xvarlab = "Time since dose (hrs)", coef = c("Ka", "V", "Cl"), params = c("Ka",
+   "V", "Cl"), reparams = c("Ka", "V", "Cl"), tparams = c("log(Ka)", "log(V)",
+   "log(CL)", varnames = c("D[1,1]", "D[1,2]", "D[1,3]", "D[2,1]", "D[2,2]",
+   "D[2,3]", "D[3,1]", "D[3,2]", "D[3,3]"))
```

nameData is similar to that described above and the inputStructure for RunWB is the WinBUGS text model file. An example is provided in the appendix. Finally there is a list of additional WinBUGS arguments (WBargs). The WinBUGS arguments (WBargs) includes a list of the parameters to be sampled (parameters), the initial values for the parameters to be estimated (inits), the number of chains (n.chains), the number of iterations (n.iter), the burnin (n.burnin) and the number of samples to thin (n.thin). There is also an option called debug which when set to debug=TRUE stops the program at the WinBUGS level. The user can then use WinBUGS in the standard Windows format to assess convergence and mixing and debug any problems. Convergence and mixing are assessed to determine if the MCMC sample has reached the stationary distribution (i.e. the target distribution). Further information on this and other topics on the application of MCMC for fully Bayesian analyses are provided in the WinBUGS Manual (Spiegelhalter et al., 2001), (Lunn et al., 2002), (Gelman et al., 2003), and (Chib, 2003).

```

> parameters <- c("sigma2", "ka", "cl", "v", "beta", "mu", "re", "itau", "ipredwb",
+   "ppredwb")
> inits <- function() {
+   list(beta = structure(.Data = c(rep(0.5, 12), rep(-0.6, 12), rep(-3, 12)),
+     .Dim = c(12, 3)), mu = c(0.5, -0.6, -3), tau = structure(.Data = c(0.1,
+     0, 0, 0, 0.1, 0, 0, 0, 0.1), .Dim = c(3, 3)), tauC = 20)
+   list(beta = structure(.Data = c(rep(-0.5, 12), rep(-0.8, 12), rep(-3.5,
+     12)), .Dim = c(12, 3)), mu = c(-0.5, -0.8, -3.5), tau = structure(.Data = c(0.1,
+     0, 0, 0, 0.1, 0, 0, 0, 0.1), .Dim = c(3, 3)), tauC = 20)
+   list(beta = structure(.Data = c(rep(1.5, 12), rep(-0.4, 12), rep(-2.8, 12)),
+     .Dim = c(12, 3)), mu = c(1.5, -0.4, -2.8), tau = structure(.Data = c(0.1,
+     0, 0, 0, 0.1, 0, 0, 0, 0.1), .Dim = c(3, 3)), tauC = 20)
+ }
> WBargs <- list(parameters = parameters, inits = inits, n.chains = 3, n.iter = 12000,
+   n.burnin = 4000, n.thin = 3, debug = TRUE)
> WB <- RunWB(inputStructure = "theosw.txt", data = data, nameData = nameData,
+   WBargs = WBargs)

```

paramEst provides the user with a table of population parameter estimates for the three estimation methods.

```

> paramEst(PKNLMEobject = MM, NMobject = NM, WBobject = WB)

```

	NMparams		NMse	NLparams		NLse	WBparams	WBse
log(Ka)	0.3594780	0.40571700	0.35186657	0.19865612	0.37420	0.212300		
log(V)	-0.7795500	0.10409500	-0.78775851	0.04367641	-0.77910	0.060500		
log(Cl)	-3.1984400	0.22354300	-3.21410805	0.07679407	-3.22100	0.089210		
D[1,1]	0.4261940	0.74846700	0.41152016	NA	0.50130	0.297200		
D[1,2]	-0.0133228	0.04634360	-0.01122222	NA	-0.01007	0.050640		
D[2,2]	0.0140928	0.04371580	0.01427557	NA	0.03503	0.019630		
D[1,3]	-0.0195110	0.15941900	-0.01906293	NA	-0.02083	0.075360		
D[2,3]	0.0294696	0.05444040	0.02932086	NA	0.03115	0.022620		
D[3,3]	0.0617928	0.05697050	0.06030567	NA	0.08391	0.045300		
sigma^2	0.0285694	0.00423325	0.02833892	NA	0.02992	0.004622		

indEst provides the user with a table of individual level parameters for the three estimation methods.

```

> indEst(PKNLMEobject = MM, NMobject = NM, WBobject = WB)

```

```

[1] "Individual Estimates - Ka"
      NONMEM      NLME      WinBUGS
1  0.38730114  0.38524438  0.45256523
2  0.56338012  0.56536901  0.50820229
3  0.76285979  0.76725027  0.80260144
4  0.07881118  0.07928283  0.06849518
5  0.17134487  0.17401387  0.21215355
6  0.01123663  0.01721632  0.05847529
7 -0.44951104 -0.44420325 -0.40496065

```

```

8  0.22999999  0.23649481  0.30701222
9  1.84580564  1.83654627  1.79900360
10 -0.38409019 -0.38488052 -0.30973102
11  1.09192330  1.10136376  1.17156650
12 -0.11192647 -0.11129896 -0.18774183

```

```
[1] "Individual Estimates - V"
```

	NONMEM	NLME	WinBUGS
1	-1.0507082	-1.0602674	-1.0191608
2	-0.7563426	-0.7631128	-0.8043770
3	-0.7684312	-0.7739943	-0.7581178
4	-0.8150663	-0.8239788	-0.8376640
5	-0.7362217	-0.7423776	-0.7179590
6	-0.6751501	-0.6839595	-0.6584368
7	-0.6700363	-0.6792030	-0.6540040
8	-0.7110057	-0.7182587	-0.6775253
9	-0.9219819	-0.9279954	-0.9673334
10	-0.8510181	-0.8605995	-0.8157360
11	-0.6182067	-0.6208506	-0.5843091
12	-0.7899224	-0.7985045	-0.8598322

```
[1] "Individual Estimates - Cl"
```

	NONMEM	NLME	WinBUGS
1	-3.770046	-3.776147	-3.821468
2	-3.145370	-3.160932	-3.132358
3	-3.166829	-3.181633	-3.194042
4	-3.279051	-3.291435	-3.279811
5	-3.110829	-3.122344	-3.142608
6	-2.985168	-3.003358	-3.020747
7	-2.983665	-2.998119	-3.016982
8	-3.056417	-3.072004	-3.102619
9	-3.468973	-3.488398	-3.442369
10	-3.364277	-3.371816	-3.402946
11	-2.843166	-2.862513	-2.897935
12	-3.229861	-3.240598	-3.202471

AICcomp calculates and prints the AIC, AICc (small sample AIC) and the loglikelihood from NONMEM and NLME for each of any number of models.

```

> PKNLMEobjects = list(MM)
> NONMEMobjects = list(NM)
> AICcomp(PKNLMEobjects = PKNLMEobjects, NONMEMobjects = NONMEMobjects)

```

	NM AIC	NLME AIC	NM AICc	NLME AICc	NM of	NLME of	K
1	-217.92	0.428	-215.902	2.446	-237.92	-19.572	10

The object oriented nature of the output in the R environment makes writing simulations and saving the results easy and PKtools provides the function `desc` for calculating simple statistics including mean, median, standard deviation and percentiles on these results.

```
> desc(Theo$conc)
```

N	Mean	Med	S	0.025	0.05	0.95	0.975	Min	Max
120.0000	2.2550	2.3800	0.6042	0.9589	1.0720	3.0570	3.1240	0.9220	3.3760

## 4 Appendix

**NONMEM: Example Control file** The following is a standard control file for NONMEM. In the control file the user defines the model (\$SUBROUTINE,\$PK,\$ERROR), identifies the data file (\$DATA) and variable names (\$INPUT), specifies the estimation method (\$ESTIMATION) and covariance matrix definition (\$COV), provides starting values for the parameters (\$THETA,\$OMEGA,\$SIGMA) and identifies the output data tables (\$TABLE). The standard output data tables include population and individual level residuals and predicted values (NMPR.TBL), as well as, individual parameter estimates (NMIP.TBL) and estimates of the individual random effects (NMRE.TBL). We also output a table of the individual subject covariates (NMCOV.TBL). Two additional subroutine files infnx5u.for and wrtab5msb.for indicate to NONMEM to create the tables of the population parameter estimates (PAR.TAB), the correlation matrix (COR.TAB) and the covariance matrix (COV.TAB). We made some minor changes in the wrtab5.for file provided by GLOBOMAX to make PAR.TAB a 3 column table that is readable in R. These files are included in the appendix. In addition we added four lines of code to \$PK that read the minimum value of the object function we then created an output table MVOF.TBL.

Looking at the control file, the \$SUBROUTINE ADVAN2 TRANS2 identifies a one compartment model with first order absorption parameterized using (Ka, V/F, CL/F). The THETA's represent fixed parameters and the ETA's represent the random effects. In our example the individual PK parameters are assumed to follow a log normal distribution, and the concentrations are normal after transformation by taking the square root. For more information on the NONMEM CONTROL file see the NONMEM Manuals (Boeckmann et al., 1994).

```
$PROBLEM control.model5
$INPUT ID DOSE=AMT TIME CONC=DV WT EVID
$DATA NMdata
$SUBROUTINE ADVAN2 TRANS2 INFN=infnx5u.for OTHER=wrtab5msb.for
$PK
"FIRST
"      COMMON /ROCM8/ OBJECT
"      DOUBLE PRECISION OBJECT
MVOF=OBJECT

      TLKA=THETA(1)
      TVKA=EXP(THETA(1))
      KA=TVKA*EXP(ETA(1))

      TLV=THETA(2)
      TVV=EXP(THETA(2))
      V=TVV*EXP(ETA(2))

      TLCL=THETA(3)
      TVCL=EXP(THETA(3))
      CL=TVCL*EXP(ETA(3))

      K=CL/V
      S2=V

      HL=.693/K
      TVHL=.693*TVV/TVCL
```



```

$ERROR
  IPRED=SQRT(F+.05)
  IRES=IPRED-DV
  IWRES=IRES/.169      ; std dev=.169
  Y=SQRT(F+.05) + ERR(1)

$THETA  (-3, .5, 1.5) (-3,-.6,-.1) (-5,-3,1); (-1,.01,1) (-1,.01,1)
$OMEGA  BLOCK(3) .5 -.01 .02 -.01 .01 .02
$SIGMA  .05
$ESTIMATION  MAXEVAL=9999 PRINT=3 METHOD=CONDITIONAL LAPLACIAN SIGDIGITS=5
$COV  MATRIX=S
$TABLE ID ETA1 ETA2 ETA3 FIRSTONLY ONEHEADER NOPRINT NOAPPEND FILE=NMRE.TBL
$TABLE ID KA V CL FIRSTONLY ONEHEADER NOPRINT NOAPPEND FILE=NMIP.TBL
$TABLE ID WT FIRSTONLY ONEHEADER NOPRINT NOAPPEND FILE=NMCOV.TBL
$TABLE EVID ID TIME IPRED IRES IWRES ONEHEADER NOPRINT FILE=NMPR.TBL
$TABLE MVOF FIRSTONLY NOPRINT NOHEADER NOAPPEND FILE=MVOF.TBL

```

**WinBUGS: Example Model file without censoring (theosw.txt)** The model file gives the definition of the model function (in our case a one compartment model with first order absorption), and associated parameter distributions and priors. We also define the population (ppredwb) and individual (ipredwb) level predicted values. We defined the concentrations to be normal after taking the square root and the individual pk parameters are assumed to be log normal. We used vague priors. ( $\text{TauC} \sim \text{gamma}(.001, .001)$ ,  $\text{mu} \sim \text{dnorm}(\text{mean}[], \text{prec}[], )$ ,  $\text{tau} \sim \text{wish}(R[], 3)$  the values for the hyperparameters are given in the data listing. Note that WinBUGS defines the distributions using precision instead of variance, so for example tauC is precision and  $\text{sigma}^2 = 1/\text{tauC}$ .

```

model {
  for (i in 1:n.ind) {
    for (j in off.data[i]:(off.data[i+1]-1)) {
      conc[j] ~ dnorm(ipredwb[j], tauC)
      ipredwb[j] <- sqrt(dose[j]*ka[i]*(exp(-(cl[i]/v[i])*time[j]) -
        exp(-ka[i]*time[j]))/(v[i]*(ka[i]-(cl[i]/v[i]))))
      ppredwb[j] <- sqrt(dose[j]*exp(mu[1])*(exp(-(exp(mu[3])/exp(mu[2]))*time[j]) -
        exp(-exp(mu[1]*time[j]))/(exp(mu[2])*(exp(mu[1])-(exp(mu[3])/exp(mu[2]))))))
    }
    ka[i] <- exp(beta[i, 1])
    v[i] <- exp(beta[i, 2])
    cl[i] <- exp(beta[i, 3])
    hl[i] <- .693*v[i]/cl[i]
    beta[i, 1:3] ~ dmnorm(mu[, ],tau[, ])
    re[i,1] <- beta[i,1] - mu[1]
    re[i,2] <- beta[i,2] - mu[2]
    re[i,3] <- beta[i,3] - mu[3]
  }
  tauC ~ dgamma(1.0E-3, 1.0E-3)
  sigma2<-1/tauC
  mu[1:3] ~ dmnorm(mean[, ], prec[, ])
  tau[1:3,1:3] ~ dwish(R[, ], 3)
  itau[1:3,1:3]<-inverse(tau[, ])
  tvhl<- .693*exp(mu[2])/exp(mu[3])
}

```

## References

- Beal, S. and Sheiner, L. (1989). *NONMEM Users Guide - Part I*. NONMEM Project Group, UCSF.
- Boeckmann, A., Sheiner, L., and Beal, S. (1994). *NONMEM Users Guide- Part V, Introductory Guide*. NONMEM Project Group, UCSF.
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